

Directory of State and Federal Agencies

Listed here are the names, addresses, and telephone numbers of state and federal agency personnel responsible for franchising disclosure/registration laws and selected business opportunity laws. Entries for Alberta and the Federal Trade Commission appear at the end of the list, along with the membership roster of the NASAA Franchise and Business Opportunities Committee.

California

Department of Corporations
Los Angeles
320 W. 4th St. Ste. 750
Los Angeles, CA 90013-2344
(213) 576-7500
Toll free: (866) 275-2677
www.corp.ca.gov

Sacramento

1515 K St., Ste. 200
Sacramento, CA 95814-4052
(916) 455-7205
Toll free: (866) 275-2677

San Diego

1350 Front St., Rm. 2034
San Diego, CA 92101-3697
(619) 525-4233
Toll free: (866) 275-2677

San Francisco

One Sansome St., Ste. 600
San Francisco, CA 94105-4428
(415) 972-8565
Toll free: (866) 275-2677

Connecticut

Connecticut Banking Commissioner
Department of Banking
Securities & Shop Investments Division
260 Constitution Plz.
Hartford, CT 06103-1800
(860) 240-8299
Toll free: (800) 832-7255
www.ct.gov/dob/

Florida

Department of Agriculture and Consumer Services

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Division of Consumer Services
2005 Apalachee Pkwy
Tallahassee, FL 32399
(850) 410-3800
Toll free: (800) 435-7352
www.800helpfla.com

Hawaii

Commissioner of Securities
Department of Commerce and Consumer Affairs
King Kalakaua Bldg
335 Merchant St., Rm. 205
Honolulu, HI 96813
(808) 586-2744
www.hawaii.gov/dcca/sec

Illinois

Illinois Attorney General
500 S. Second St.
Springfield, IL 62706
(217) 782-1090
www.illinoisattorneygeneral.gov

Indiana

Secretary of State
Securities Division
302 W. Washington St., Rm. E-111
Indianapolis, IN 46204
(317) 232-6681
www.in.gov/sos/business/index.htm

Iowa

Iowa Insurance Division
Iowa Securities Bureau
330 Maple St.
Des Moines, IA 50319-0065
(515) 281-5705
Toll free: (877) 955-1212
www.iid.state.ia.us/securities

Kentucky

Office of the Attorney General

Consumer Protection Division
Attn: Shop Opportunity
Capitol Ste. 118
700 Capitol Ave.
Frankfort, KY 40601-3499
(502) 696 5389
Toll free: (888) 432-9257
www.Ag.ky.gov/civil/consumerprotection/

Maine

Department of Professional and Financial Regulations
Bureau of Banking
Securities Division
121 State House Sta.
Augusta, ME 04333
(207) 624-8551
Toll free: (877) 624-8551
www.investors.maine.gov/

Maryland

Maryland Division of Securities
200 St. Paul Pl., 20th Fl.
Baltimore, MD 21202
(410) 576-6360
Toll free: (888) 743-0073
www.oag.state.md.us/securities/

Michigan

Department of Attorney General
Consumer Protection Division, Franchise Unit
525 Ottawa St.
G. Mennen Williams Bldg, 1st Fl.
Lansing, MI 48909
(517) 373-7117
www.michigan.gov/ag

Minnesota

Minnesota Department of Commerce
Market Assurance Division
85 E. 7th Place, Ste. 500
St. Paul, MN 55101-2198
(651) 296-4026 www.sos.state.mn.us

Nebraska

Department of Banking and Finance
 Commerce Court Ste. 400
 1230 O St.
 Lincoln, NE 68509-5006
 (402) 471-2171
www.ndbf.ne.gov

New York

Bureau of Investor Protection and Securities
 New York State Department of Law
 120 Broadway, 23rd Fl.
 New York, NY 10271
 (212) 416-8236
www.ag.ny.gov/burea/investor-protection-bureau

North Carolina

Secretary of State
 Securities Division
 Old Revenue Complex
 2 S. Salisbury St.
 Raleigh, NC 27601-2903
 (919) 733-3924
www.secretary.state.nc.us/sec

North Dakota

Office of Securities Commissioner
 State Capitol—5th Fl.
 600 E. Blvd.
 Bismarck, ND 58505-0510
 (701) 328-2946
 Toll free: (800) 297-5124
www.ndsecurities.com

Oregon

Department of Consumer and Business Services
 Division of Finance and Corporate Securities
 350 Winter St. NE, Rm. 410
 Salem, OR 97301-3881
 (503) 378-4140
www.cbs.state.or.us/external/dfcs/securities.html

Rhode Island

Department of Banking and Business Administration
 John O. Pastore Complex
 1511 Pontiac Ave.
 Building 69, First Fl.
 Cranston, RI 02920
 (401) 462-9500
www.dbr.ri.gov

South Carolina

Office of Secretary of State
 1205 Pendleton St.
 Edgar Brown Bldg., Ste. 525
 Columbia, SC 29201
 (803) 734-2170
www.scsos.com

South Dakota

Department of Labor and Regulation
 Division of Securities
 445 E. Capitol Ave.
 Pierre, SD 57501-3185
 (605) 773-4823
<http://dlr.sd.gov/securities/>

Texas

Office of Secretary of State
 Registrations Unit
 P.O. Box 13350
 James Earl Rudder Office Building
 1019 Brazos Street, 5th Floor
 Austin, Texas 78701
 (512) 463-5705.
www.sos.state.tx.us

Utah

Utah Department of Commerce
 Division of Consumer Protection
 160 E. 300 S.
 P.O. Box 146704
 Salt Lake City, UT 84114-6704
 (801) 530-6601

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Toll free: (800) 721-SAFE
consumerprotection.utah.gov/

Virginia

State Corporation Commission
Division of Securities and Retail Franchising
Tyler Building, 9th Fl.
1300 E. Main St.
Richmond, VA 23219
(804) 371-9051
Toll free: (800) 552-7945
www.scc.virginia.gov/srf/

Washington

Department of Financial Institutions
Securities Division
P.O. Box 9033
150 Israel Rd. SW
Tumwater, WA 98501
(360) 902-8760
www.dfi.wa.gov/sd/

Wisconsin

Department of Financial Institutions
Division of Securities 4th Fl.
P.O. Box 1768
Madison, WI 53701-1768
(608) 266-8557 www.wdfi.org/fi/securities

Federal Trade Commission

Bureau of Consumer Protection
Division of Marketing Practices
600 Pennsylvania Ave. NW
Washington, DC 20580
(202) 326-3128
www.ftc.gov/bcp/bcpmp.shtm

Canada

Alberta

Government of Alberta
Consumer Programs
3B Commerce Plc.

10155 102 St.
Edmonton, AB T5J-4L4
(780) 422-8166
www.servicealberta.ca/consumer_info.cfm

Ontario

Ministry of Government Services
Eighth Fl., Ferguson Block
77 Wellesley St. W.
Toronto, Ontario M7A 1N3
(416) 326-8881
www.mgs.gov.on.ca/en/index.html